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# Continuation of Holomorphic Functions from Subvarieties to Pseudoconvex Domains (Applications of Analytic Extensions)

AUTHOR(S):

Adachi, Kenzo

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# Continuation of Holomorphic Functions from Subvarieties to Pseudoconvex Domains

長崎大学教育学部 安達謙三 (KENZŌ ADACHI)

## 1. Introduction

Let  $D$  be a bounded pseudoconvex domain in  $\mathbf{C}^n$  and  $V$  a subvariety of  $D$ . In the present paper, we give some recent results concerning holomorphic extensions from  $V$  to  $D$  in some function spaces. In 1965, Hörmander obtained  $L^2$  estimates for the  $\bar{\partial}$  problem in bounded pseudoconvex domains in  $\mathbf{C}^n$ . In 1970, Henkin, Grauert-Lieb and Lieb obtained the uniform estimates for the  $\bar{\partial}$  problem in strictly pseudoconvex domains in  $\mathbf{C}^n$  with smooth boundary. Corresponding to these results, extension problems were studied by two different methods. The one is the extension using the integral formula in the case where  $D$  is a bounded pseudoconvex domain with a support function (for example, bounded strictly pseudoconvex domains or bounded convex domains with smooth boundary). The other is the  $L^2$  extension using the Hilbert space theory in the case where  $D$  is a general bounded pseudoconvex domain. The main purpose of the present paper is to introduce Berndtsson's another proof of the  $L^2$  extension theorem of Ohsawa-Takegoshi in bounded pseudoconvex domains.

## 2. Some recent results

**Definition.** Let  $D$  be an open set in  $\mathbf{C}^n$  and  $\varphi \in C^\infty(D)$  a real function. We denote by  $L^2(D, \varphi)$  the space of square-integrable functions in  $D$  with respect to the measure  $e^{-\varphi} d\mu$ , where  $d\mu$  is the Lebesgue measure in  $\mathbf{C}^n$ . We denote by  $L^2_{(p,q)}(D, \varphi)$  the space of  $(p, q)$ -forms with coefficients in  $L^2(D, \varphi)$ ,

$$f = \sum'_{|I|=p, |J|=q} f_{I,J} dz^I \wedge d\bar{z}^J,$$

where  $\sum'$  means that the summation is performed only over strictly increasing multi-indices. We set

$$|f|^2 = \sum'_{I,J} |f_{I,J}|^2, \quad \|f\| = \left( \int_D |f|^2 e^{-\varphi} d\mu \right)^{\frac{1}{2}}.$$

For  $f, g \in L^2_{(p,q)}(D, \varphi)$  with  $f = \sum'_{I,J} f_{I,J} dz^I \wedge d\bar{z}^J$ ,  $g = \sum'_{I,J} g_{I,J} dz^I \wedge d\bar{z}^J$ , we define the inner product in  $L^2_{(p,q)}(D, \varphi)$  by

$$(f, g) = \sum'_{I,J} \int_D f_{I,J} \overline{g_{I,J}} e^{-\varphi} d\mu.$$

Then  $L^2_{(p,q)}(D, \varphi)$  is a Hilbert space with this inner product.

**Theorem 1.** (Hörmander[14]) *Let  $D$  be a bounded pseudoconvex open set in  $\mathbf{C}^n$ , let  $\delta$  be the diameter of  $D$ , and let  $\psi$  be a plurisubharmonic function in  $D$ . For every  $f \in L^2_{p,q}(D, \varphi)$ ,  $q > 0$ , with  $\bar{\partial}f = 0$ , one can then find  $u \in L^2_{(p,q-1)}(D, \varphi)$  such that  $\bar{\partial}u = f$  and*

$$q \int_D |u|^2 e^{-\varphi} dV \leq e\delta^2 \int_D |f|^2 e^{-\varphi} dV$$

**Theorem 2.** (Henkin[10], Ramirez[17]) *Let  $D$  be a bounded strictly pseudoconvex domain in  $\mathbf{C}^n$  with smooth boundary. Then there exist a pseudoconvex domain  $\tilde{D} \supset \bar{D}$  and functions  $K(\zeta, z)$  and  $\Phi(\zeta, z)$  defined for  $\zeta \in \partial D$  and  $z \in \tilde{D}$  such that*

- (1)  $K(\zeta, z)$  and  $\Phi(\zeta, z)$  are holomorphic in  $z \in \tilde{D}$  and continuous in  $\zeta \in \partial D$
- (2) For every  $\zeta \in \partial D$  the function  $\Phi(\zeta, z)$  vanishes on the closure  $\bar{D}$  only at the point  $z = \zeta$ .
- (3) For any holomorphic function  $f$  in  $D$  that is continuous on  $\bar{D}$  and any  $z \in D$ , the integral formula

$$f(z) = \int_{\partial D} f(\zeta) \frac{K(\zeta, z)}{\Phi(\zeta, z)^n} d\sigma(\zeta)$$

holds, where  $d\sigma$  is the  $(2n-1)$  dimensional Lebesgue measure on  $\partial D$ .

**Definition.** Let  $f(x)$  be a function on  $D$ . Then we define

$$|f|_0 = \sup_{x \in D} |f(x)|.$$

Let  $f$  be a  $(0, q)$ -form with the coefficients  $f_{i_1, \dots, i_q}$ . Then we define

$$|f|_0 = \max_{i_1, \dots, i_q} |f_{i_1, \dots, i_q}|_0.$$

**Theorem 3.** (Henkin[11], Grauert-Lieb[8], Lieb[15]) *Let  $D$  be a bounded strictly pseudoconvex domain in  $\mathbf{C}^n$  with smooth boundary. Then there exists a constant  $K$  such that if  $f$  is a  $\bar{\partial}$  closed  $C^\infty(0, q+1)$ -form on  $D$ , then there exists a  $C^\infty(0, q)$ -form  $u$  on  $D$  with*

$$\bar{\partial}u = f \quad \text{and} \quad |u|_0 \leq K|f|_0.$$

Let  $D$  be a strictly pseudoconvex domain in  $\mathbf{C}^n$  with smooth boundary and let  $\tilde{M}$  be a submanifold in a neighborhood  $\tilde{D}$  of  $\bar{D}$  which meets  $\partial D$  transversally. We set  $M = \tilde{M} \cap D$ . Let  $\Omega$  be a domain in some complex manifold. We denote by  $H^\infty(\Omega)$  the space of all bounded holomorphic functions in  $\Omega$ . We also denote by  $A^\infty(\Omega)$  the space of all holomorphic functions in  $\Omega$  that are  $C^\infty$  on  $\bar{\Omega}$ . In this setting, we have theorem 4 and 5.

**Theorem 4.**(Henkin[12]) *There exists a linear extension operator  $E : H^\infty(M) \rightarrow H^\infty(D)$ . Moreover,  $Ef$  is continuous on  $\bar{D}$  if  $f$  is continuous on  $\bar{M}$ .*

**Theorem 5.**(Adachi[1], Elgueta[7]) *There exists a linear extension operator  $E : A^\infty(M) \rightarrow A^\infty(D)$ .*

**Remark.** Amar[4] proved theorem 5 when  $D$  is pseudoconvex. Henkin-Leiterer[13] proved theorem 4 without assuming the transversality.

Let  $D$  be a bounded pseudoconvex domain in  $\mathbf{C}^n$  with smooth boundary. Let  $\gamma : \partial D \times D \rightarrow \mathbf{C}^n$  be a smooth mapping such that

$$(\zeta - z, \gamma) = \sum_{j=1}^n (\zeta_j - z_j) \gamma_j(\zeta, z) \neq 0 \quad \text{on} \quad \partial D \times D.$$

Let  $h_1, \dots, h_m$  ( $m < n$ ) be holomorphic functions in a neighborhood  $\tilde{D}$  of  $\bar{D}$ . Define

$$\tilde{V} = \{z \in \tilde{D} | h_1(z) = \dots = h_m(z) = 0\}, \quad V = \tilde{V} \cap D.$$

We say  $V$  intersects  $\partial D$  transversally if

$$d\rho \wedge \partial h_1 \wedge \dots \wedge \partial h_m \neq 0 \quad \text{on} \quad \partial V.$$

In the above setting, we have the following:

**Theorem 6.**(Stout[19], Hatziafratis[9]) *There is a smooth form  $K_V(\zeta, z)$  on  $\partial V \times \bar{V}$  which is of type  $(0,0)$  in  $z$  and  $(n-m-1, n-m)$  in  $\zeta$  such that if  $f$  is holomorphic in  $V$  and continuous on  $\bar{V}$ , then for  $z \in V$*

$$(1) \quad f(z) = \int_{\zeta \in \partial V} f(\zeta) \frac{K_V(\zeta, z)}{(\zeta - z, \gamma(\zeta, z))^{n-m}}.$$

Moreover,  $K_V(\zeta, z)$  is holomorphic in  $z \in D$  provided that  $\gamma(\zeta, z)$  is holomorphic in  $z \in D$ .

Let  $D$  be a bounded convex domain with a defining function  $\rho$ . Then we can choose

$$\gamma_i(\zeta, z) = \frac{\partial \rho}{\partial \zeta_i}(\zeta).$$

Let  $E(f)(z)$  be the right hand side of (1). Then we have

**Theorem 7.**(Adachi-Cho[3]) *Let  $D$  be a bounded convex domain in  $\mathbf{C}^n$  with real analytic boundary and let  $V$  be a one dimensional subvariety of  $D$  defined above. Then we have*

- (1) *Let  $1 \leq p < \infty$ . If  $f \in H^p(V)$ , then  $E(f) \in H^p(D)$ .*
- (2) *Suppose that  $V$  has no singular points and  $1 \leq p < \infty$ . If  $f \in \mathcal{O}(V) \cap L^p(V)$ , then  $E(f) \in \mathcal{O}(D) \cap L^p(D)$ ,*

where  $\mathcal{O}(V)$ (resp.  $\mathcal{O}(D)$ ) denotes the space of all holomorphic functions in  $V$ (resp.  $D$ ).

A bounded domain  $\Omega \subset \mathbf{C}^n$  is an analytic polyhedron with defining functions  $\phi_j$  if

$$\Omega = \{z \in \mathbf{C}^n \mid |\phi_j(z)| < 1, j = 1, \dots, N\},$$

where the defining functions  $\phi_j$  are holomorphic in some neighborhood  $\tilde{\Omega}$  of  $\bar{\Omega}$ . We set  $\sigma_I = \{z \in \tilde{\Omega} \mid |\phi_j(z)| = 1, j \in I\}$ . We say that  $\Omega$  is non-degenerate if  $\partial\phi_{i_1} \wedge \dots \wedge \partial\phi_{i_k} \neq 0$  on  $\sigma_I$  for every multiindex  $I = \{i_1, \dots, i_k\}$  such that  $|I| = k \leq n$ . We say that  $\Omega$  is strongly non-degenerate if  $\partial\phi_{i_1} \wedge \dots \wedge \partial\phi_{i_k} \neq 0$  on  $\sigma_I$  for all multiindices  $I$ . Let  $\tilde{V}$  be a regular subvariety of  $\tilde{\Omega}$  of codimension  $m$  given by

$$\tilde{V} = \{z \in \tilde{\Omega} \mid h_1(z) = \dots = h_m(z) = 0\},$$

where  $h_j \in \mathcal{O}(\tilde{\Omega})$ , and  $\partial h_1 \wedge \dots \wedge \partial h_m \neq 0$  on  $\tilde{V}$ . We set  $V = \tilde{V} \cap \Omega$ . We impose the transversal assumption that

$$\partial h_1 \wedge \dots \wedge \partial h_m \wedge \partial\phi_{i_1} \wedge \dots \wedge \partial\phi_{i_k} \neq 0 \quad \text{on} \quad \bar{V} \cap \sigma_I,$$

for every multiindex  $I$  such that  $|I| = k \leq n - m$ . For a strongly non-degenerate polyhedron  $\Omega$  we can define the Hardy spaces

$$H^p(\Omega) = \left\{ f \in \mathcal{O}(\Omega) \mid \sup_{\varepsilon > 0} \|f\|_{L^p(\sigma_\varepsilon)} < \infty \right\}.$$

In the above setting, we have by applying the integral formula obtained by Berndtsson[5]:

**Theorem 8.**(Adachi-Andersson-Cho[3])

- (1) *Let  $\Omega$  be a non-degenerate analytic polyhedron. For each  $f \in \mathcal{O}(V) \cap L^p(V)$ ,  $1 \leq p < \infty$ , there exists  $F \in \mathcal{O}(\Omega) \cap L^p(\Omega)$  such that  $F(z) = f(z)$  for  $z \in V$  and  $\|F\|_{L^p(\Omega)} \leq C\|f\|_{L^p(V)}$ .*

- (2) Let  $\Omega$  be a strongly non-degenerate analytic polyhedron. Then for all  $f \in H^p(V)$ ,  $1 < p \leq \infty$ , there exists  $F \in H^p(\Omega)$  such that  $F(z) = f(z)$  for  $z \in V$  and  $\|F\|_{H^p(\Omega)} \leq c\|f\|_{H^p(V)}$ .

### 3. Outline of the proof of the theorem of Ohsawa-Takegoshi due to Berndtsson

In this section, we shall prove the extension theorem of Ohsawa-Takegoshi by following the Berndtsson's proof[6]. Using  $L^2$  space techniques, Ohsawa and Takegoshi obtained the following:

**Theorem 9.**(Ohsawa-Takegoshi[16]) Let  $D$  be a bounded pseudoconvex domain in  $\mathbb{C}^n$ . We set  $H = \{z \in \mathbb{C}^n | z_1 = 0\}$ . Then there exists a constant  $C$  which depends only on the diameter of  $D$  such that, for any plurisubharmonic function  $\varphi$  on  $D$ , and for any holomorphic function  $f$  on  $H \cap D$ , there exists a holomorphic function  $F$  in  $D$  such that

$$F|_{H \cap D} = f, \quad \int_D |F|^2 e^{-\varphi} d\mu \leq C \int_{H \cap D} |f|^2 e^{-\varphi} d\mu_1,$$

where  $d\mu$  and  $d\mu_1$  are Lebesgue measures in  $\mathbb{C}^n$  and  $\mathbb{C}^{n-1}$ , respectively.

**Lemma 1.**(Hörmander[14]) Let  $D$  be a bounded open set in  $\mathbb{C}^n$  with smooth boundary  $\partial D$  and let  $\rho$  be a smooth defining function for  $D$ . For  $f = \sum_J' f_J d\bar{z}^J \in C_{(0,q)}^1(\bar{D})$  and  $u = \sum_K' u_K d\bar{z}^K \in C_{(0,q-1)}^1(\bar{D})$ , the following equality is valid

$$(\bar{\partial}u, f) = - \int_D \sum_K' \sum_{j=1}^n u_K \overline{\delta_j f_{jK}} e^{-\varphi} d\mu + \int_{\partial D} \sum_K' u_K \overline{\sum_{j=1}^n f_{jK} \frac{\partial \rho}{\partial z_j}} e^{-\varphi} \frac{dS}{|\partial \rho|}.$$

**Definition.** For  $u \in C^1(D)$ , define

$$\delta_j u = e^\varphi \frac{\partial}{\partial z_j} (u e^{-\varphi}) = \frac{\partial u}{\partial z_j} - \frac{\partial \varphi}{\partial z_j} u, \quad \partial_k u = \frac{\partial u}{\partial z_k}, \quad \bar{\partial}_k u = \frac{\partial u}{\partial \bar{z}_k}.$$

For  $C^1(0, q)$ -form  $f = \sum_{|J|=q}' f_J d\bar{z}^J$ , define  $\bar{\partial}^* f = - \sum_K' \sum_{j=1}^n \delta_j f_{jK} d\bar{z}^K$ . We define

$$f \in \text{Def}(\bar{\partial}^*) \iff \sum_{j=1}^n f_{jK} \frac{\partial \rho}{\partial z_j} = 0 \quad \text{on } \partial D \quad \text{for all } K.$$

We say  $f$  satisfies the boundary condition if  $f \in \text{Def}(\bar{\partial}^*)$ . When  $f$  satisfies the boundary condition, we have from lemma 1

$$(\bar{\partial}u, f) = (u, \bar{\partial}^* f).$$

**Lemma 2.**(Hörmander[14]) Let  $\alpha = \sum_{|J|=q} \alpha_J d\bar{z}^J$  be a smooth  $(0,q)$ -form in  $\bar{D}$  and  $\alpha \in \text{Def}(\bar{\partial}^*)$ . For  $\varphi \in C^\infty(\bar{D})$  we have

$$\begin{aligned} \|\bar{\partial}^* \alpha\| + \|\bar{\partial} \alpha\|^2 &= \sum_K' \sum_{j,k=1}^n \int_D \alpha_{jK} \bar{\alpha}_{kK} \frac{\partial^2 \varphi}{\partial z_j \partial \bar{z}_k} e^{-\varphi} d\mu + \sum_J' \sum_{j=1}^n \int_D \left| \frac{\partial \alpha_J}{\partial \bar{z}_j} \right|^2 e^{-\varphi} d\mu \\ &+ \sum_K' \sum_{j,k=1}^n \int_{\partial D} \alpha_{jK} \bar{\alpha}_{kK} \frac{\partial^2 \rho}{\partial z_j \partial \bar{z}_k} e^{-\varphi} \frac{dS}{|\partial \rho|}. \end{aligned}$$

We assume that  $\varphi$  is a smooth function in  $\bar{D}$  from lemma 3 to lemma 7. Thus  $f \in L^2(D, \varphi)$  means  $f \in L^2(D)$ . We omit the proof of lemma 3, since the detailed proof of lemma 3 is given in [6].

**Lemma 3.** Let  $w$  be a real valued smooth function in  $\bar{D}$ .  $\alpha = \sum_{j=1}^n \alpha_j d\bar{z}_j$  is a smooth  $(0,1)$ -form in  $\bar{D}$  satisfying the boundary condition. Then we have

$$\begin{aligned} &\int_D w \sum_{j,k=1}^n \varphi_{j\bar{k}} \alpha_j \bar{\alpha}_k e^{-\varphi} d\mu - \int_D w_{j\bar{k}} \alpha_j \bar{\alpha}_k e^{-\varphi} d\mu \\ &+ \int_D w |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \int_D w \sum_{j,k=1}^n \left| \frac{\partial \alpha_k}{\partial \bar{z}_j} \right|^2 e^{-\varphi} d\mu + \int_{\partial D} w \sum_{j,k=1}^n \rho_{j\bar{k}} \alpha_j \bar{\alpha}_k e^{-\varphi} \frac{dS}{|\partial \rho|} \\ &= 2\text{Re} \int_D w \bar{\partial} \bar{\partial}^* \alpha \cdot \bar{\alpha} e^{-\varphi} d\mu + \int_D w |\bar{\partial} \alpha|^2 e^{-\varphi} d\mu. \end{aligned}$$

**Definition.** Let  $\psi \in C^\infty(\bar{D})$  and  $\alpha = \sum_{j=1}^n \alpha_j d\bar{z}_j \in C_{(0,1)}^\infty(\bar{D})$ . We define the inner product of  $g = \psi \bar{\partial} \left( \frac{1}{z_1} \right)$  and  $\alpha$  by

$$\langle g, \alpha \rangle = \sum_{j=1}^n \langle \psi \frac{\partial}{\partial \bar{z}_j} \left( \frac{1}{z_1} \right), \alpha_j \rangle = \lim_{\varepsilon \rightarrow 0} \int_D \psi(z) \frac{\partial}{\partial \bar{z}_1} \left( \frac{\bar{z}_1}{|z_1|^2 + \varepsilon} \right) \overline{\alpha_1(z)} e^{-\varphi(z)} d\mu(z).$$

Moreover, if we define

$$h_\varepsilon(z) = \psi(z) \bar{\partial} \left( \frac{\bar{z}_1}{|z_1|^2 + \varepsilon} \right),$$

then we obtain

$$(2) \quad \langle g, \alpha \rangle = \lim_{\varepsilon \rightarrow 0} \langle h_\varepsilon, \alpha \rangle.$$

In view of lemma 6, the right hand side of (2) exists. For  $u \in L^1(D)$  and a  $(0,1)$ -form  $\alpha$  in  $D$  with compact support, we define

$$\langle \bar{\partial} u, \alpha \rangle = (u, \bar{\partial}^* \alpha).$$

Then we have the following:

**Lemma 4.** Let  $D$  be a bounded strictly pseudoconvex domain in  $\mathbb{C}^n$  with smooth boundary. Let  $f$  be a holomorphic function in  $\bar{D}$  and  $g = f \bar{\partial} \left( \frac{1}{z_1} \right)$ . Let  $u \in L^1(D)$ . If the equality

$$\langle g, \alpha \rangle = \int_D u \bar{\partial}^* \alpha e^{-\varphi} d\mu$$

holds for any  $\bar{\partial}$  closed  $\alpha \in C_{(0,1)}^\infty(\bar{D})$  which satisfies the boundary condition, then  $g = \bar{\partial} u$  in the sense of distribution.

**Proof.** Let  $\alpha$  be a  $C^\infty(0,1)$ -form in  $D$  with compact support. We define

$$\text{Def}(\bar{\partial}) = \{g \in L_{(0,q)}^2(D, \varphi) | \bar{\partial} g \in L_{(0,q+1)}^2(D, \varphi)\}.$$

For Laplace-Beltrami operator  $\square = \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial} : L_{(0,1)}^2(D, \varphi) \rightarrow L_{(0,1)}^2(D, \varphi)$ , define

$$\text{Def}(\square) = \{\alpha \in L_{(0,1)}^2(D, \varphi) | \alpha \in \text{Def}(\bar{\partial}), \bar{\partial} \alpha \in \text{Def}(\bar{\partial}^*), \alpha \in \text{Def}(\bar{\partial}^*), \bar{\partial}^* \alpha \in \text{Def}(\bar{\partial})\},$$

$$\mathcal{H} = \{\alpha \in \text{Def}(\square) | \square \alpha = 0\}.$$

Then  $\mathcal{H}$  is a closed subspace of the Hilbert space  $L_{(0,1)}^2(D, \varphi)$ . Let  $H : L_{(0,1)}^2(D, \varphi) \rightarrow \mathcal{H}$  be the orthogonal projection. From the theory of the  $\bar{\partial}$  Neumann problem, there exists Neumann operator  $\mathcal{N} : L_{(0,1)}^2(D, \varphi) \rightarrow \text{Def}(\square)$  such that

$$\alpha = \bar{\partial} \bar{\partial}^* \mathcal{N} \alpha + \bar{\partial}^* \bar{\partial} \mathcal{N} \alpha + H \alpha.$$

For  $\beta \in \mathcal{H}$ , we have

$$0 = (\square \beta, \beta) = (\bar{\partial} \bar{\partial}^* \beta, \beta) + (\bar{\partial}^* \bar{\partial} \beta, \beta) = \|\bar{\partial}^* \beta\|^2 + \|\bar{\partial} \beta\|^2.$$



Hence we obtain  $\bar{\partial}\beta = \bar{\partial}^*\beta = 0$ . From lemma 2, it holds that

$$\begin{aligned} 0 = \|\bar{\partial}\beta\|^2 + \|\bar{\partial}^*\beta\|^2 &\geq \sum_{j,k=1}^n \left\| \frac{\partial\beta_j}{\partial\bar{z}_k} \right\|^2 + \int_{\partial D} \sum_{j,k=1}^n \frac{\partial^2\rho}{\partial z_i \partial \bar{z}_k} \beta_j \bar{\beta}_k \frac{dS}{|\partial\rho|} \\ &\geq \sum_{j,k=1}^n \left\| \frac{\partial\beta_j}{\partial\bar{z}_k} \right\|^2 + c \int_{\partial D} |\beta|^2 \frac{dS}{|\partial\rho|}. \end{aligned}$$

Thus  $\beta_j$  is holomorphic in  $D$  and 0 in  $\partial D$  so that  $\beta = 0$ . Therefore  $\mathcal{H} = 0$ . We set

$$\alpha_1 = \bar{\partial}\bar{\partial}^*\mathcal{N}\alpha, \quad \alpha_2 = \bar{\partial}^*\bar{\partial}\mathcal{N}\alpha.$$

Since Neumann operator maps smooth  $(0,1)$ -forms to smooth  $(0,1)$ -forms in the strictly pseudoconvex domain  $D$ ,  $\alpha_1$  and  $\alpha_2$  are both smooth  $(0,1)$ -forms in  $\bar{D}$ . Obviously,  $\bar{\partial}\alpha_1 = 0$ . If  $\bar{\partial}\beta = 0$ , then by lemma 1  $(\beta, \alpha_2) = (\bar{\partial}\beta, \bar{\partial}\mathcal{N}\alpha) = 0$ . Hence  $\alpha_2 \perp \text{Ker}(\bar{\partial})$ . On the other hand, from lemma 1, for any smooth function  $\beta$  on  $\bar{D}$ , we have

$$0 = (\bar{\partial}\beta, \alpha_2) = (\beta, \bar{\partial}^*\alpha_2) + \int_{\partial D} \beta \overline{\alpha_2} \cdot \bar{\partial}\rho e^{-\varphi} \frac{dS}{|\partial\rho|}.$$

Thus  $\bar{\partial}^*\alpha_2 = 0$ . Therefore  $\alpha_2$  satisfies the boundary condition. Hence,  $\alpha_1$  satisfies the boundary condition. If we set

$$h_\varepsilon(z) = f(z) \bar{\partial} \left( \frac{\bar{z}_1}{|z_1|^2 + \varepsilon} \right),$$

then we have

$$\langle g, \alpha_2 \rangle = \lim_{\varepsilon \rightarrow 0} (h_\varepsilon, \alpha_2) = 0.$$

Thus we have

$$\langle g, \alpha \rangle = \langle g, \alpha_1 \rangle = \int_D u \overline{\bar{\partial}^*\alpha_1} e^{-\varphi} d\mu = \int_D u \overline{\bar{\partial}^*\alpha} e^{-\varphi} d\mu = (u, \bar{\partial}^*\alpha) = \langle \bar{\partial}u, \alpha \rangle,$$

which means  $g = \bar{\partial}u$ .

**Lemma 5.** Let  $g$  be the same as in lemma 4. Let  $\lambda$  be a non-negative real valued function in  $D$  with the property that  $\frac{1}{\lambda}$  is integrable. If the inequality

$$|\langle g, \alpha \rangle|^2 \leq C \int_D |\bar{\partial}^*\alpha|^2 \frac{e^{-\varphi}}{\lambda} d\mu$$

holds for any  $\bar{\partial}$  closed  $\alpha \in C_{(0,1)}^\infty(\bar{D})$  which satisfies the boundary condition, then there exists  $u \in L^1(D, \varphi)$  such that

$$\bar{\partial}u = g, \quad \int_D |u|^2 \lambda e^{-\varphi} d\mu \leq C.$$

**Proof.** Let  $C_b^\infty(\bar{D})$  be the space of all  $\bar{\partial}$  closed  $C^\infty(0,1)$ -forms in  $\bar{D}$  which satisfies the boundary condition. We set

$$F = \{\bar{\partial}^* \alpha \mid \alpha \in C_b^\infty(\bar{D})\}, \quad \varphi_1 = \frac{e^{-\varphi}}{\lambda}.$$

Then,  $F$  is a vector subspace of  $L^2(D, \varphi_1)$ . For  $w \in F$ , there exists  $\alpha \in C_b^\infty(\bar{D})$  such that  $w = \bar{\partial}^* \alpha$ . We define

$$\Phi(w) = \langle g, \alpha \rangle.$$

Then  $\Phi(w)$  is independent of the choice of  $\alpha$ . Also, we have

$$|\Phi(w)|^2 \leq C \|w\|_{\varphi_1}^2, \quad \|\Phi\| \leq \sqrt{C}.$$

Thus  $\Phi$  is a bounded anti-linear operator on  $F$ . From the Hahn-Banach theorem,  $\Phi$  is extended to a bounded anti-linear operator on  $L^2(D, \varphi_1)$ . From the Riesz representation theorem, there exists  $v \in L^2(D, \varphi_1)$  such that

$$\Phi(w) = (v, w)_{\varphi_1}, \quad \|v\|_{\varphi_1} = \|\Phi\| \leq \sqrt{C}.$$

Therefore we have

$$\langle g, \alpha \rangle = \Phi(w) = (v, w)_{\varphi_1} = \int_D v \bar{\partial}^* \alpha \frac{e^{-\varphi}}{\lambda}, \quad \int_D |v|^2 \frac{e^{-\varphi}}{\lambda} d\mu = \|v\|_{\varphi_1}^2 \leq C.$$

If we set  $u = \frac{v}{\lambda}$ , then

$$\int_D |u|^2 \lambda e^{-\varphi} d\mu \leq C, \quad \langle g, \alpha \rangle = \int_D u \bar{\partial}^* \alpha e^{-\varphi} d\mu.$$

On the other hand, we have

$$\int_D |u| e^{-\varphi} d\mu \leq \int_D \frac{|v|^2}{\lambda} e^{-\varphi} d\mu \int_D \frac{e^{-\varphi}}{\lambda} d\mu \leq C \int_D \frac{e^{-\varphi}}{\lambda} d\mu < \infty.$$

Thus,  $u \in L^1(D, \varphi)$ . From lemma 4, we obtain  $\bar{\partial}u = g$ .

**Lemma 6.** For  $\varphi \in C^\infty(\bar{D})$ , it holds that

$$\lim_{\varepsilon \rightarrow 0} \int_D \frac{\varepsilon}{(|z_1|^2 + \varepsilon)^2} \varphi(z) d\mu(z) = \pi \int_{\{z_1=0\} \cap D} \varphi(z) d\mu_1(z),$$

where  $d\mu$  and  $d\mu_1$  are Lebesgue measures in  $\mathbf{C}^n$  and  $\mathbf{C}^{n-1}$ , respectively.

**Lemma 7.** Let  $D$  be a bounded strictly pseudoconvex domain in  $\mathbf{C}^n$  with smooth boundary and  $D \subset \{z \mid |z_1| \leq 1\}$ . Let  $\varphi$  be a smooth plurisubharmonic function in  $\bar{D}$  and let  $\alpha$  be a  $\bar{\partial}$  closed smooth  $(0, 1)$ -form in  $\bar{D}$  which satisfies the boundary condition. Then, for  $0 < \delta < 1$ , we have

$$\int_{\{z_1=0\} \cap D} |\alpha_1|^2 e^{-\varphi} d\mu_1 \leq \frac{2}{\pi} \left(1 + \frac{1}{\delta^2}\right) \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu.$$

**Proof.** For  $0 < \delta < 1$ , we set

$$w^\delta = 1 - |z_1|^{2\delta} = 1 - (z_1 \bar{z}_1)^\delta.$$

From lemma 3, we have

$$\begin{aligned} & \int_D w^\delta \sum_{j,k=1}^n \varphi_{j\bar{k}} \alpha_j \bar{\alpha}_k e^{-\varphi} d\mu + \delta^2 \int_D |z_1|^{2\delta-2} |\alpha_1|^2 e^{-\varphi} d\mu + \int_D w^\delta |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu \\ & + \int_D w^\delta \sum_{j,k=1}^n \left| \frac{\partial \alpha_j}{\partial \bar{z}_k} \right|^2 e^{-\varphi} d\mu + \int_{\partial D} w^\delta \sum_{j,k=1}^n \rho_{j\bar{k}} \alpha_j \bar{\alpha}_k e^{-\varphi} \frac{dS}{|\partial \rho|} \\ & = 2\operatorname{Re} \int_D w^\delta \bar{\partial} \bar{\partial}^* \alpha \cdot \bar{\alpha} e^{-\varphi} d\mu. \end{aligned}$$

Hence we have

$$\begin{aligned} & \delta^2 \int_D |z_1|^{2\delta-2} |\alpha_1|^2 e^{-\varphi} d\mu + \int_D w^\delta |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu \leq 2\operatorname{Re} \int_D w^\delta \bar{\partial} \bar{\partial}^* \alpha \cdot \bar{\alpha} e^{-\varphi} d\mu \\ & = 2\operatorname{Re}(\bar{\partial}^* \alpha, \bar{\partial}^*(w^\delta \alpha)) = 2\operatorname{Re}(\bar{\partial}^* \alpha, w^\delta \bar{\partial}^* \alpha - \sum_{j=1}^n \frac{\partial w^\delta}{\partial z_j} \alpha_j) \\ & = 2 \int_D w^\delta |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu - 2\operatorname{Re} \int_D \bar{\partial}^* \alpha \overline{\frac{\partial w^\delta}{\partial z_1} \alpha_1} e^{-\varphi} d\mu \\ & \leq 2 \int_D w^\delta |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + 2 \int_D |\bar{\partial}^* \alpha| |\delta| |z_1|^{2\delta-1} |\alpha_1| e^{-\varphi} d\mu \\ & \leq 2 \int_D w^\delta |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + 2 \int_D |\bar{\partial}^* \alpha|^2 |z_1|^{2\delta} e^{-\varphi} d\mu + \frac{1}{2} \int_D \delta^2 |\alpha_1|^2 |z_1|^{2\delta-2} e^{-\varphi} d\mu. \end{aligned}$$

Thus we have

$$\begin{aligned} & \frac{1}{2} \delta^2 \int_D |z_1|^{2\delta-2} |\alpha_1|^2 e^{-\varphi} d\mu \leq \int_D (1 - |z_1|^{2\delta}) |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + 2 \int_D |\bar{\partial}^* \alpha|^2 |z_1|^{2\delta} e^{-\varphi} d\mu \\ & = \int_D |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \int_D |\bar{\partial}^* \alpha|^2 |z_1|^{2\delta} e^{-\varphi} d\mu \leq 2 \int_D |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu. \end{aligned}$$

Therefore, for  $0 < \delta < 1$ , we obtain

$$(3) \quad \delta^2 \int_D |z_1|^{2\delta-2} |\alpha_1|^2 e^{-\varphi} d\mu \leq 4 \int_D |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu.$$

On the other hand, we set

$$w_\varepsilon = \frac{1}{\pi} \log \frac{1}{|z_1|^2 + \varepsilon}, \quad w = \frac{1}{\pi} \log \frac{1}{|z_1|^2}.$$

We apply lemma 3 to  $w_\varepsilon$  and let  $\varepsilon \rightarrow 0$ , then by lemma 6

$$\int_{\{z_1=0\} \cap D} |\alpha_1|^2 e^{-\varphi} d\mu_1 + \int_D w |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu \leq 2 \operatorname{Re} \int_D w \bar{\partial} \bar{\partial}^* \alpha \cdot \bar{\alpha} e^{-\varphi} d\mu.$$

By the same calculation as the first part and applying (3) to  $0 < \delta < 1$ , we have

$$\begin{aligned} \int_{\{z_1=0\} \cap D} |\alpha_1|^2 e^{-\varphi} d\mu_1 &\leq \frac{1}{\pi} \int_D \log \frac{1}{|z_1|^2} |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \frac{2}{\pi} \int_D |\bar{\partial}^* \alpha| \frac{|\alpha_1|}{|z_1|} e^{-\varphi} d\mu \\ &\leq \frac{1}{\pi} \int_D \log \frac{1}{|z_1|^2} |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \frac{2}{\pi} \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu + \frac{1}{2\pi} \int_D |z_1|^{2\delta-2} |\alpha_1|^2 e^{-\varphi} d\mu \\ &\leq \frac{1}{\pi} \int_D \log \frac{1}{|z_1|^2} |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \frac{2}{\pi} \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu + \frac{2}{\pi \delta^2} \int_D |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu \\ &\leq \frac{1}{\pi \delta^2} \int_D \log \frac{1}{|z_1|^{2\delta}} |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu + \frac{2}{\pi} \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu + \frac{2}{\pi \delta^2} \int_D |\bar{\partial}^* \alpha|^2 e^{-\varphi} d\mu. \end{aligned}$$

Using the fact that  $x \left( \log \frac{1}{x} + 2 \right) \leq 2$  for  $0 < x \leq 1$ , we have

$$\begin{aligned} \int_{\{z_1=0\} \cap D} |\alpha_1|^2 e^{-\varphi} d\mu_1 &\leq \frac{2}{\pi} \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu + \frac{1}{\pi \delta^2} \int_D \frac{2|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu \\ &= \frac{2}{\pi} \left( 1 + \frac{1}{\delta^2} \right) \int_D \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi} d\mu, \end{aligned}$$

which completes the proof.

**Lemma 8.** Let  $D$  be a pseudoconvex domain in  $\mathbf{C}^n$  and  $X = \{z \in D | z_1 = 0\}$ . Let  $f$  be a holomorphic function in  $X$ . If  $H$  is locally integrable in  $D$  and satisfies  $\bar{\partial} H = f \bar{\partial} \left( \frac{1}{z_1} \right)$ , then there exists a holomorphic function  $\tilde{H}$  in  $D$  such that  $\tilde{H}(z) = z_1 H(z)$  a.e. and  $\tilde{H}(z) = f(z)$  for  $z \in X$ .

**Proof.** There exists a neighborhood  $\omega$  of  $X$  in  $D$  such that  $f$  can be extended to be holomorphic in  $\omega$ . Let  $\chi \in C^\infty(D)$  be a function such that  $\chi = 1$  in a neighborhood of  $X$  in  $\omega$ ,  $\text{supp}(\chi) \subset \omega$  and  $0 \leq \chi \leq 1$  in  $D$ . We set

$$\omega = \frac{f\bar{\partial}\chi}{z_1}.$$

Then  $\omega$  satisfies that  $\omega \in C_{(0,1)}^\infty(D)$ ,  $\bar{\partial}\omega = 0$ . Define

$$G = \frac{\chi f}{z_1} - H,$$

then  $G$  is locally integrable. Since we have

$$\bar{\partial}G = \bar{\partial}(\chi f)\frac{1}{z_1} + \chi f\bar{\partial}\left(\frac{1}{z_1}\right) - \bar{\partial}H = f\bar{\partial}\chi\frac{1}{z_1} + \chi f\bar{\partial}\left(\frac{1}{z_1}\right) - \bar{\partial}H = \bar{\partial}\chi\frac{f}{z_1} = \omega,$$

there exists a smooth function  $\tilde{G}$  in  $D$  such that  $\tilde{G} = G$  a.e.. We set

$$\chi(z)f(z) - z_1\tilde{G}(z) = \tilde{H}(z),$$

then we have  $z_1H(z) = \tilde{H}(z)$  a.e. and  $\tilde{H}(z) = f(z)$  for  $z \in X$ . Moreover we have

$$\bar{\partial}\tilde{H}(z) = (\bar{\partial}\chi(z))f(z) - z_1\bar{\partial}\tilde{G}(z) = (\bar{\partial}\chi(z))f(z) - z_1\omega(z) = 0.$$

Hence  $\tilde{H}(z)$  is holomorphic in  $D$ .

**Lemma 9.** Let  $D$  be an open set in  $\mathbb{C}^n$  and let  $K \subset D$  be a compact set. Then there exists a constant  $C$  such that for any holomorphic function  $f$  in  $D$  and any neighborhood  $\omega$  of  $K$

$$\sup_K |f| \leq C\|f\|_{L^1(\omega)}.$$

**Lemma 10.** Let  $\{u_k\}$  be a sequence of holomorphic functions in  $D$  which are uniformly bounded on any compact subset of  $D$ . Then there exists a subsequence  $\{u_{k_j}\}$  of  $\{u_k\}$  such that  $\{u_{k_j}\}$  converges uniformly on any compact subset of  $D$  to a holomorphic function in  $D$ .

**Theorem 10.**(Berndtsson[6]) Let  $D$  be a bounded pseudoconvex domain in  $\mathbb{C}^n$  and let  $\varphi$  be a plurisubharmonic function in  $D$ . We set  $X = \{z \in D | z_1 = 0\}$ . Suppose that

$D \subset \{z \in \mathbf{C}^n \mid |z_1| \leq A\}$ . If  $f$  is holomorphic in  $X$ , then there exists a holomorphic function  $F$  in  $D$  such that

$$F|_X = f, \quad \int_D |F|^2 e^{-\varphi} d\mu \leq 4A^2 \pi \int_X |f|^2 e^{-\varphi} d\mu_1,$$

where  $d\mu$  and  $d\mu_1$  are Lebesgue measures in  $\mathbf{C}^n$  and  $\mathbf{C}^{n-1}$ , respectively.

**Proof.** Without loss of generality, we may assume that  $A = 1$ . There exists an increasing sequence of bounded strictly pseudoconvex domains in  $\mathbf{C}^n$  with smooth boundary such that  $\overline{D_n} \subset\subset D$  and  $\bigcup_{n=1}^{\infty} D_n = D$ . Let  $\{\varphi_n\}$  be a sequence of  $C^\infty$  plurisubharmonic functions in  $\overline{D_n}$  such that  $\varphi_n \downarrow \varphi$ . We set  $g = f \bar{\partial} \left( \frac{1}{z_1} \right)$ . Let  $\alpha$  be a  $\bar{\partial}$  closed (0,1)-form which satisfies the boundary condition on  $\partial D_n$ . From lemma 7, we have

$$\begin{aligned} | \langle g, \alpha \rangle_{\varphi_n} |^2 &= \left| \lim_{\varepsilon \rightarrow 0} \int_{D_n} f \frac{\varepsilon}{(|z_1|^2 + \varepsilon)^2} \bar{\alpha}_1 e^{-\varphi_n} d\mu \right|^2 = \left| \int_{\{z_1=0\} \cap D_n} \pi f \bar{\alpha}_1 e^{-\varphi_n} d\mu_1 \right|^2 \\ &\leq \pi^2 \int_{\{z_1=0\} \cap D_n} |f|^2 e^{-\varphi_n} d\mu_1 \int_{\{z_1=0\} \cap D_n} |\alpha_1|^2 e^{-\varphi_n} d\mu_1 \\ &\leq 2\pi \left( 1 + \frac{1}{\delta^2} \right) \int_{\{z_1=0\} \cap D_n} |f|^2 e^{-\varphi_n} d\mu_1 \int_{D_n} \frac{|\bar{\partial}^* \alpha|^2}{|z_1|^{2\delta}} e^{-\varphi_n} d\mu. \end{aligned}$$

From lemma 5, there exist integrable functions  $u_\delta^n$  in  $D_n$  such that

$$\bar{\partial} u_\delta^n = g, \quad \int_{D_n} |u_\delta^n|^2 |z_1|^{2\delta} e^{-\varphi_n} d\mu \leq 2\pi \left( 1 + \frac{1}{\delta^2} \right) \int_{\{z_1=0\} \cap D_n} |f|^2 e^{-\varphi_n} d\mu_1.$$

We set  $F_\delta^n = u_\delta^n z_1$ . Then, from lemma 8,  $F_\delta^n$  are holomorphic in  $D_n$  and satisfy  $F_\delta^n|_{\{z_1=0\} \cap D_n} = f|_{\{z_1=0\} \cap D_n}$ . Suppose that

$$\int_X |f|^2 e^{-\varphi} d\mu_1 = C < \infty,$$

then it holds that

$$\begin{aligned} \int_{D_n} |F_\delta^n|^2 e^{-\varphi_n} d\mu &= \int_{D_n} |u_\delta^n|^2 |z_1|^2 e^{-\varphi_n} d\mu \leq \int_{D_n} |u_\delta^n|^2 |z_1|^{2\delta} e^{-\varphi_n} d\mu \\ &\leq 2\pi \left( 1 + \frac{1}{\delta^2} \right) \int_{\{z_1=0\} \cap D_n} |f|^2 e^{-\varphi_n} d\mu_1 \leq 2\pi \left( 1 + \frac{1}{\delta^2} \right) C. \end{aligned}$$

Therefore, for some fixed  $n$ , there exists a constant  $C_1$  such that

$$\int_{D_n} |F_\delta^n|^2 d\mu \leq C_1.$$

From lemma 9,10, there exists a sequence  $\{\delta_j\}$  with  $\delta_j \rightarrow 1$  such that  $F_{\delta_j}^n$  converges uniformly on any compact subset of  $D_n$  to  $F^n$ . Then  $F^n$  are holomorphic in  $D_n$  and satisfy  $F^n|_{\{z_1=0\} \cap D_n} = f|_{\{z_1=0\} \cap D_n}$ . Moreover, we have

$$\int_{D_n} |F^n|^2 e^{-\varphi_n} d\mu \leq 4\pi C.$$

Let  $K$  be a compact subset of  $D$ . There exists a natural number  $N$  such that  $K \subset D_n$ , ( $n \geq N$ ). If we set

$$M_n = \min_{D_n} e^{-\varphi_n},$$

then, for  $n \geq N$ , there exist a constant  $C_2$  such that

$$4\pi C \geq \int_{D_n} |F^n|^2 e^{-\varphi_n} d\mu \geq M_n \int_{D_n} |F^n|^2 d\mu \geq C_2 \sup_K |F^n|^2.$$

Thus  $\{F^n\}$  are uniformly bounded on any compact subset of  $D$ . Then we can find a subsequence  $\{F^{k_n}\}$  of  $\{F^n\}$  which converges uniformly on any compact subset of  $D$ . We set  $\lim_{n \rightarrow \infty} F^{k_n} = F$ . Then  $F$  is holomorphic in  $D$  and satisfies  $F|_X = f$ . For any compact subset  $K$  of  $D$ , we have

$$\int_K |F|^2 e^{-\varphi} d\mu = \lim_{n \rightarrow \infty} \int_K |F^{k_n}|^2 e^{-\varphi_{k_n}} d\mu \leq 4\pi C,$$

which completes the proof.

**Remark.** Siu[18] also obtained another proof of the theorem of Ohsawa-Takegoshi in which the constant  $C = \frac{64}{9} \pi A^2 \left(1 + \frac{1}{4\epsilon}\right)^{1/2}$  provided  $D \subset \{z ||z| \leq A\}$ .

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